

知能ソフトウェア特論  
Intelligent Software

項書換え系（1）  
代数的仕様と項書換え

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Term Rewriting Systems(1)  
Algebraic Specification and  
Term Rewriting

# 項書換え系入門：基本的なアイデア

(Introduction to term rewriting systems: Basic idea)

等式 (equation)  仕様 (specification)

$$x \times (y + z) = x \times y + x \times z$$

書換え規則 (rewrite rule)  プログラム (program)

$$x \times (y + z) \rightarrow x \times y + x \times z$$

書換え (rewriting)  計算 (computation)

$$1 + \underline{(2 \times (a + b))} \rightarrow 1 + \underline{(2 \times a + 2 \times b)}$$

書換え規則の左辺のインスタンス  
(an instance of the left-hand  
side of a rewrite rule)

対応する右辺のインスタンス  
(the corresponding  
instance of the right-hand  
side)

# 項書換え系入門：応用

(Applications)

関数型プログラミング (functional programming)

代数的仕様記述 (algebraic specification)

自動検証 (automated verification)

記号計算 (symbolic computation)

定理証明 (theorem proving)

# 1. 項書換え系の構文論 (1/2)

(Syntax of term rewriting systems)

## 基本的な記号

- 変数  $x, y, z, \dots$
- 定数  $0, 1, \dots, a, b, c, \dots$
- 関数記号  $f, g, h, \dots$   
アリティ (引数の個数) は記号ごとに固定

Atomic symbols used in term rewriting systems are classified into variables ( $x, y, z, \dots$ ), constants ( $0, 1, \dots, a, b, c, \dots$ ), and function symbols ( $f, g, h, \dots$ ) with fixed arity, the number of arguments they take.

## 項

- 変数および定数は項
- $f$  がアリティ  $n$  の関数記号,  $t_1, \dots, t_n$  が項ならば  $f(t_1, \dots, t_n)$  は項

A term is constructed as follows.

- 1) A variable and a constant are terms.
- 2) If  $f$  is a function symbol of arity  $n$  and if  $t_1, \dots, t_n$  are terms, then  $f(t_1, \dots, t_n)$  is a term.

# 1. 項書換え系の構文論 (2/2)

**書換え規則** 2つの項 (左辺  $l$ , 右辺  $r$ ) の順序対  $l \rightarrow r$  のこと.

左辺のインスタンスを対応する右辺のインスタンスに書換え可能

A rewrite rule is an ordered pair  $l \rightarrow r$  of terms (the left-hand side  $l$  and the right-hand side  $r$ ). Any instance of  $l$  can be rewritten to the corresponding instance of  $r$ .

**項書換え系** 書換え規則の集合  $R$

A term rewriting system (TRS)  
 $R$  is a set of rewrite rules.

Example :

$$R = \left\{ \begin{array}{ll} f(x, g(y)) \rightarrow g(f(x, y)), & g(g(x)) \rightarrow x \\ f(x, a) \rightarrow x, & g(a) \rightarrow b \\ f(x, b) \rightarrow g(x), & g(b) \rightarrow a \end{array} \right\}$$

参考 : ブール代数で,  
 $f = \text{XOR}$ ,  $g = \text{NOT}$ ,  $a = 0$ ,  $b = 1$   
と解釈する.

Each equation is true if you interpret  
 $f = \text{XOR}$ ,  $g = \text{NOT}$ ,  $a = 0$ ,  $b = 1$   
on Boolean algebra.

## 2. 項書換え系の操作的意味論 (1/5)

(Operational semantics of term rewriting)

書換え可能  $s \rightarrow_R t$  ( $s \rightarrow t$ )

$R$  に含まれる 1 つの書換え規則を  
項  $s$  の部分項に 1 回適用して  
項  $t$  が得られる.

A term  $s$  is reducible to a term  
 $t$ , notation  $s \rightarrow_R t$  (or  $s \rightarrow t$ ), if  $t$   
can be obtained by applying a  
rewrite rule in  $R$  once to a  
subterm (a part) of  $s$ .

Example : From  $f(x, g(y)) \rightarrow g(f(x, y))$ , we see

$$\underline{g(f(g(a), g(b)))} \rightarrow_R g(g(f(g(a), b)))$$

部分項

subterm

左辺のインスタンス  
(instance: 実例)

an instance of the left-  
hand side

= リデックス  
(reducible expression)

a redex  
(reducible expression)

## 2. 項書換え系の操作的意味論 (2/5)

書換え列  $t_0 \rightarrow_R t_1 \rightarrow_R \cdots \rightarrow_R t_n \rightarrow_R \cdots$

「計算」を表現

A rewrite sequence  
represents a computation.

正規形  $t_n$  をそれ以上書換えられないとき,  $t_n$  は初期項  $t_0$  の正規形

「計算結果」を表現

A term  $t_n$  is a normal form of  
the initial term  $t_0$  if  $t_n$  cannot  
be rewritten any more.

The normal form represents  
the result of the computation.

## 2. 項書換え系の操作的意味論 (3/5)

### 書換え戦略

$s$  から書換え可能な  $t$  は一般には何通りもある (非決定性) .

いずれを選ぶか.

**Rewrite strategy:** In general, the computation is non-deterministic, i.e., there are many  $t$ 's to which  $s$  is reducible. A rewrite strategy determines which one to choose.

### 最内最左戦略

最も内側のリデックスのうち最も左側を選択

$f(\underline{g(a)}, g(g(a)))$

$\rightarrow f(b, \underline{g(g(a))})$

$\rightarrow f(b, \underline{g(b)})$

$\rightarrow \underline{f(b, a)}$

$\rightarrow b$

**Leftmost-innermost strategy** chooses the leftmost redex from the innermost ones. Here is an example.

$$R = \left\{ \begin{array}{ll} f(x, g(y)) \rightarrow g(f(x, y)), & g(g(x)) \rightarrow x \\ f(x, a) \rightarrow x, & g(a) \rightarrow b \\ f(x, b) \rightarrow g(x), & g(b) \rightarrow a \end{array} \right\}$$



## 2. 項書換え系の操作的意味論 (4/5)

### 最外最左戦略

最も外側のリデックスのうち最も左側を選択

$\underline{f(g(a), g(g(a)))}$   
→  $\underline{g(f(g(a), g(a)))}$   
→  $\underline{g(g(f(g(a), a)))}$   
→  $\underline{f(g(a), a)}$   
→  $\underline{g(a)}$   
→  $b$

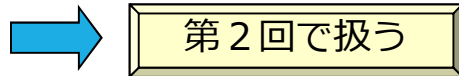
Leftmost-outermost strategy  
chooses the leftmost redex  
from the outermost ones.

$$R = \left\{ \begin{array}{ll} f(x, g(y)) \rightarrow g(f(x, y)), & g(g(x)) \rightarrow x \\ f(x, a) \rightarrow x, & g(a) \rightarrow b \\ f(x, b) \rightarrow g(x), & g(b) \rightarrow a \end{array} \right\}$$

## 2. 項書換え系の操作的意味論 (5/5)

### 停止性

無限の書換え列  $t_0 \rightarrow t_1 \rightarrow t_2 \rightarrow \dots$  が存在しない  
(計算は必ず停止)

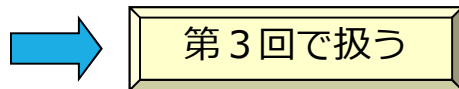


discuss it in the second lecture.

A TRS is terminating (or has a termination property) if there exists no infinite rewrite sequence, i.e., the computation will terminate definitely .

### 合流性

書換え戦略によらず正規形は (もしあれば) 唯一  
(計算結果は高々1つ)



discuss it in the third lecture.

A TRS is confluent (or has a confluence property) if there exists no or unique normal form, i.e., there exists at most one result of the computation.

# 3. ソフトウェアの代数的仕様記述 (1/13)

(Algebraic specification of software)

## 代数的仕様

関数間の関係を等式の集合で記述することにより  
抽象データ型を定義するもの

Algebraic specifications define abstract data types by describing relationships among functions in a set of equations.

## 直接実行

等式  $l=r$  を  $l \rightarrow r$  に向き付けて  
項書換え系として実行

Direct execution of algebraic specifications as TRSs are possible by directing equations  $l=r$  to  $l \rightarrow r$ .

### 3. ソフトウェアの代数的仕様記述 (2/13)

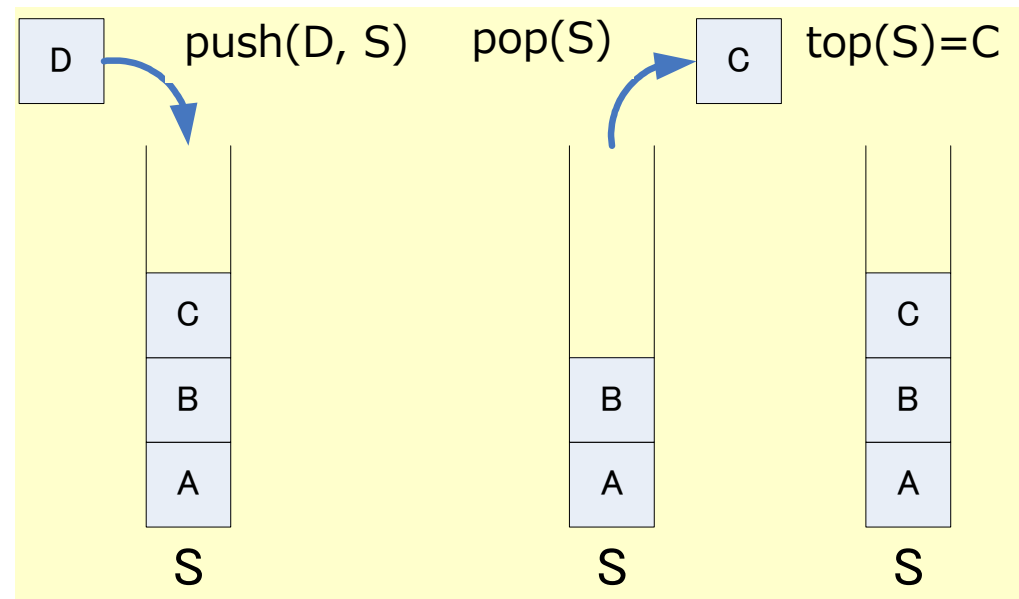
#### 例題 1 スタック (Example 1: Stack)

push: Element  $\times$  Stack  $\rightarrow$  Stack

pop: Stack  $\rightarrow$  Stack

top: Stack  $\rightarrow$  Element

emptyStack: Stack



### 3. ソフトウェアの代数的仕様記述 (3/13)

$\text{pop}(\text{push}(x,y)) = y$   
 $\text{top}(\text{push}(x,y)) = x$

【直接実行の例】

(Example of direct execution)

$\text{top}(\text{pop}(\text{push}(\text{A}, \text{push}(\text{B}, \text{pop}(\text{push}(\text{C}, \text{push}(\text{D}, \text{emptyStack})))))))$   
→  $\text{top}(\text{pop}(\text{push}(\text{A}, \text{push}(\text{B}, \text{push}(\text{D}, \text{emptyStack}))))$   
→  $\text{top}(\text{push}(\text{B}, \text{push}(\text{D}, \text{emptyStack})))$   
→ B

### 3. ソフトウェアの代数的仕様記述 (4/13)

#### 例題 2 : 自然数の加算 (Example 2: Addition of natural numbers)

後者関数  $s(x) = x + 1$   
により自然数を項  
 $0, s(0), s(s(0)), \dots$   
で表現

The successor function  
 $s(x) = x + 1$  allows us to  
represent the natural numbers  
as terms  $0, s(0), s(s(0)), \dots$

$s: \text{Nat} \rightarrow \text{Nat}$   
 $\text{plus}: \text{Nat} \times \text{Nat} \rightarrow \text{Nat}$

$\text{plus}(0, x) = x$   
 $\text{plus}(s(x), y) = s(\text{plus}(x, y))$

パターン  $0$  と  $s(x)$  で第 1 引数に来るすべての自然数について場合を尽くしている

The patterns  $0$  and  $s(x)$  cover all the cases for possible natural numbers for the first argument.

### 3. ソフトウェアの代数的仕様記述 (5/13)

$\text{plus}(0,x) = x$   
 $\text{plus}(s(x),y) = s(\text{plus}(x,y))$

【直接実行の例】

$\text{plus}(s(s(0)),s(s(0)))$

$\rightarrow s(\text{plus}(s(0),s(s(0))))$

$\rightarrow s(s(\text{plus}(0,s(s(0)))))$

$\rightarrow s(s(s(0)))$

(Example of direct execution)

$2+2 \rightarrow \rightarrow \rightarrow 4$

## 【補足】 階乗

(Supplementary note: Factorial)

factorial:  $\text{Nat} \rightarrow \text{Nat}$

factorial(0) = s(0)

factorial(s(x)) = times(s(x), factorial(x))



times(a,b) は かけ算  $a \times b$ .  
別途定義してあるとする.

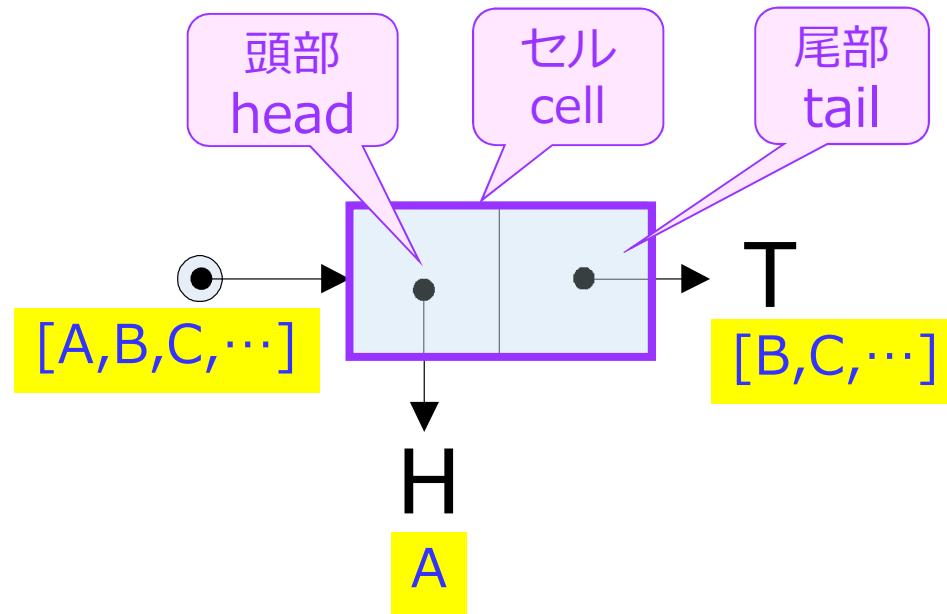
times(a,b) means  $a \times b$ ,  
defined elsewhere.



## 【補足】 リスト構造 (1/3)

(Supplementary note:  
List structure)

リスト構造:データの列  $[A, B, C, \dots]$   
を表現するデータ構造



これを, 項  $\text{cons}(H,T)$  で表現

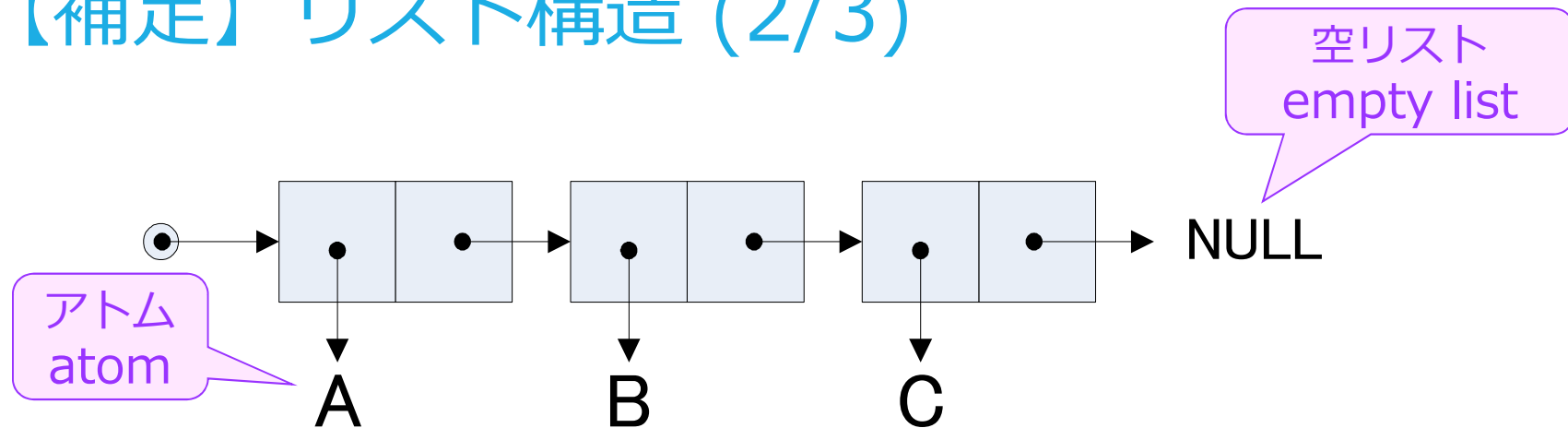
簡易記法:  $H:T$

List structure is a data structure used to represent a sequence  $[A, B, C, \dots]$  of data.

It is implemented as a cell consisting of two parts: the head for representing the first item of the list and the tail for the subsequence starting from the second item.

The cell consisting of the head  $H$  and the tail  $T$  is represented by the term  $\text{cons}(H,T)$  and abbreviated as  $H:T$ .

## 【補足】 リスト構造 (2/3)

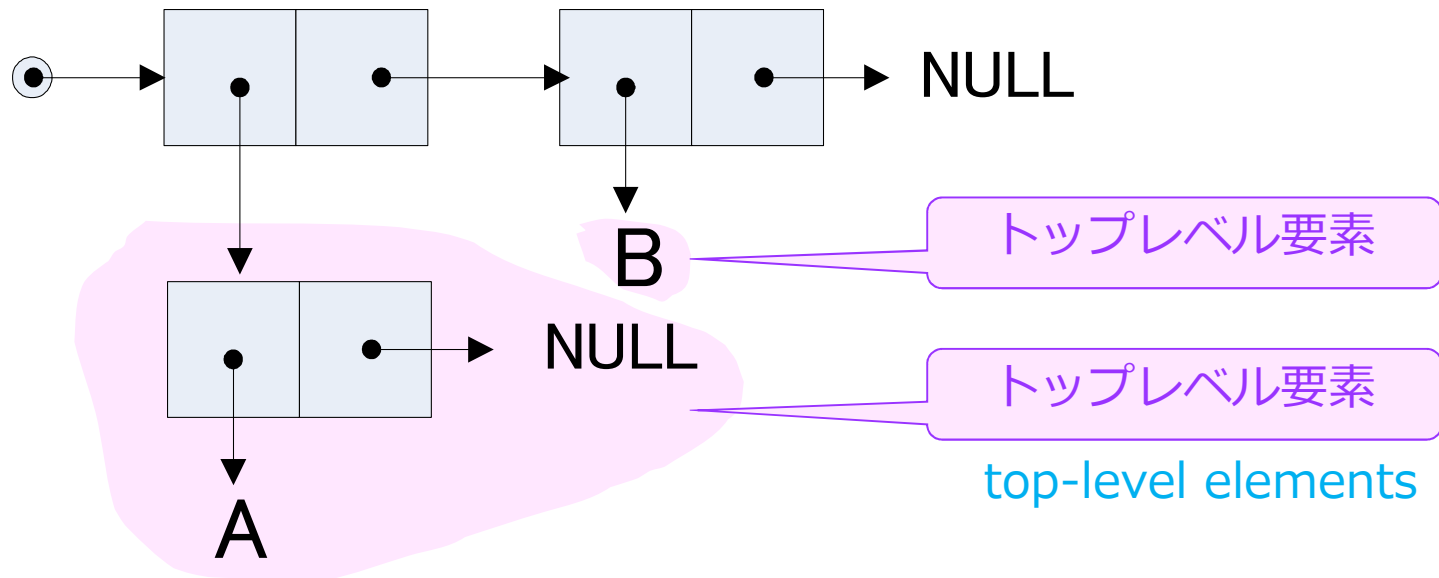


$$\begin{aligned} & A : ( B : ( C : \text{NULL} ) ) \\ & = A : B : C : \text{NULL} \\ & = [ A, B, C ] \end{aligned}$$

簡易記法  
abbreviation

: は右結合演算子  
The : is a right-associative  
operator.

## 【補足】 リスト構造 (3/3)



$(A:NULL):B:NULL$

$[[A],B]$

### 3. ソフトウェアの代数的仕様記述 (6/13)

例題 3  $n$  番目に小さな素数  
(先頭は 0 番目)

**Example 3:** The  $n$ th smallest prime number  
(where 0th is the first one)

素数の集合 =  $\{2, 3, 5, 7, 11, \dots\}$

最外最左戦略で

$\text{prime}(2) \rightarrow \dots \rightarrow 5$

The set of prime number is  $\{2, 3, 5, 7, 11, \dots\}$ .

The leftmost-outermost strategy will reduce  $\text{prime}(2)$  to 5.

$n$ 番目に小さな素数

$\text{prime}(n) = \text{nth}(\text{primes}(), n)$

先頭から  $n$  番目のデータを返す

$\text{nth}(L, n)$  returns the  $n$ th element of the list  $L$ .

$\text{prime}(n)$  returns the  $n$ th smallest prime number.

素数が昇順に並ぶ無限リスト

$\text{primes}()$  returns the infinite list of the prime numbers arranged in the ascending order.

### 3. ソフトウェアの代数的仕様記述 (7/13)

$\text{nth}(L, n)$

はリスト  $L$  の  $n$  番目の要素

$$\text{nth}(x : y, 0) = x$$

$$\text{nth}(x : y, s(n)) = \text{nth}(y, n)$$

$\text{nth}(L, n)$

returns the  $n$ th  
element of the list  $L$

The first (0th) element of  $x:y$  is  $x$ .

The  $(n+1)$ th element of  $x:y$  is the  $n$ th element of  $y$ .

### 3. ソフトウェアの代数的仕様記述 (8/13)

素数が昇順に並ぶ無限リスト

```
primes() = sieve(ints(s(s(0))))
```

`primes()` returns the infinite list of the prime numbers in the ascending order.

自然数  $x$  以降の自然数の無限リスト

```
ints(x) = x : ints(s(x))
```

`ints(x)` returns the infinite list of the natural numbers starting from the natural number  $x$  in the ascending order.

```
ints(s(s(0)))  
= [2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, ...]
```

↓ sieve

```
primes()  
= [2, 3, 5, 7, 11, 13, .....]
```

### 3. ソフトウェアの代数的仕様記述 (9/13)

エラトステネスのふるい

$$\text{sieve}(x : y) = x : \text{sieve}(\text{filt}(x, y))$$

$\text{sieve}(x:y)$  returns the list of prime numbers starting from  $x$  by filtering out the non-prime numbers from the list  $y$ , based on the *Eratosthenes'* sieve algorithm.

$\text{sieve} [2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,\dots]$



2 : filter [3,4,5,6,7,⋯] by 2, followed by sieve



2 : sieve [3,5,7,9,11,13,15,⋯]



2 : 3 : filter [5,7,9,11,13,15,⋯] by 3, followed by sieve



### 3. ソフトウェアの代数的仕様記述 (10/13)

$\text{filt}(x, L)$  は  $x$  の倍数をすべて  
リスト  $L$  から削除するフィルター

$$\text{filt}(x, y:z) = \text{if}(\text{eq}(\text{mod}(y, x), 0), \\ \text{filt}(x, z), \\ y : \text{filt}(x, z) )$$

$\text{filt}(x, L)$  returns the list  
obtained from the list  $L$  by  
filtering out all the  
multiples of  $x$ .

$\text{eq}(x, y)$  means  $x=y$  and  
 $\text{mod}(y, x)$  means the  
remainder of  $y \div x$ .

(Define them in the Exercise.)

If the first element  $y$  is divided by  $x$  (i.e.,  $y \bmod x$  equals 0),  
then ignore  $y$  and continue the filtering of  $z$ ,  
else save  $y$  for the head and continue the filtering of  $z$  for the  
tail.

$$\begin{aligned} \text{if}(\text{true}, x, y) &= x \\ \text{if}(\text{false}, x, y) &= y \end{aligned}$$

$\text{if}(C, x, y)$  represents the  
conditional expression. It  
returns  $x$  if the condition  $C$   
is reduced to true; or  $y$  if  $C$   
is reduced to false.



### 3. ソフトウェアの代数的仕様記述 (11/13)

prime 2

→nth(**primes()**,2)

→nth(sieve **ints 2**,2)

→nth(**sieve 2:ints 3**,2)

→nth(**2:sieve f(2,ints 3)**,2)

→nth(sieve f(2,**ints 3**),1)

最外最左戦略

leftmost outermost  
reduction strategy

遅延評価

delayed evaluation

2 などは s(s(0)) などの略記.

f は filt の略

1引数関数 prime, ints, sieveの  
引数を囲む括弧は省略

For the simplicity of the  
expressions:

- integers such as 2 represents the terms such as s(s(0)),
- f is the abbreviation for filt,
- parentheses surrounding the argument of unary functions prime, ints, and sieve are omitted.

### 3. ソフトウェアの代数的仕様記述 (12/13)

`nth(sieve f(2,ints 3),1)`

→`nth(sieve f(2,3:ints 4),1)`

→`nth(sieve 3:f(2,ints 4),1)`

→`nth(3:sieve f(3,f(2,ints 4)),1)`

→`nth(sieve f(3,f(2,ints 4)),0)`

### 3. ソフトウェアの代数的仕様記述 (13/13)

$\text{nth}(\text{sieve } f(3, f(2, \text{ints } 4)), 0)$

$\rightarrow \text{nth}(\text{sieve } f(3, f(2, 4 : \text{ints } 5)), 0)$

$\rightarrow + \text{nth}(\text{sieve } f(3, f(2, \text{ints } 5)), 0)$

$\rightarrow \text{nth}(\text{sieve } f(3, f(2, 5 : \text{ints } 6)), 0)$

$\rightarrow \text{nth}(\text{sieve } f(3, 5 : f(2, \text{ints } 6)), 0)$

$\rightarrow + \text{nth}(\text{sieve } 5 : f(3, f(2, \text{ints } 6)), 0)$

$\rightarrow \text{nth}(5 : \text{sieve } f(5, f(3, f(2, \text{ints } 6))), 0)$

$\rightarrow 5$

$\rightarrow +$  は, 1ステップ以上の書換えを表す

$\rightarrow +$  denotes one or more steps of rewriting

## 演習問題 5

自然数を0と後者関数 $s$ を用いてコード化したとき、以下の関数を定義する代数的仕様を示せ。

(それにより例題3の記述が完結する。組込み演算子 $=$ は使えないものとする。)

- (1)  $eq(x, y)$ :  $x=y$ ならば $true$ ,  
でなければ $false$
- (2)  $ge(x, y)$ :  $x \geq y$ ならば $true$ ,  
でなければ $false$
- (3)  $minus(x, y)$ :  $x \geq y$ ならば $x-y$ ,  
でなければ0
- (4)  $mod(x, y)$ :  $x$ を $y$ で割った余り  
( $x$ から $y$ を可能な限り減じていく)

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【HINT】 You have to define the equality operator  $eq$  without built-in equality  $=$ . For example, your answer including an equation like  $eq(x, y) = if(x=y, true, false)$  is incorrect.

## Exercise 5

When the natural numbers are encoded by 0 and the successor function  $s$ , write the algebraic specifications of the following functions for completing the description for Example 3, assuming the built-in operator  $=$  is not available.

- (1)  $eq(x, y)$  returns  $true$  if  $x=y$ ;  
or  $false$ , otherwise.
- (2)  $ge(x, y)$  returns  $true$  if  $x \geq y$ ;  
or  $false$ , otherwise.
- (3)  $minus(x, y)$  returns  $x-y$  if  $x \geq y$ ;  
or 0, otherwise.
- (4)  $mod(x, y)$  returns the remainder for  $x \div y$ . (Subtract  $y$  from  $x$  as long as possible.)

A correct answer should include four equations with the left-hand sides  $eq(0, 0)$ ,  $eq(0, s(y))$ ,  $eq(s(x), 0)$ , and  $eq(s(x), s(y))$ . Make sure that  $eq(s(0), s(s(s(0))))$  is rewritten to  $false$ .